SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* SHIVE DUNIA A						2. Issuer Name and Ticker or Trading Symbol <u>Main Street Capital CORP</u> [MAIN]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner					
(Last)	(First)	(M	(Middle)				3. Date of Earliest Transaction (Month/Day/Year) 10/14/2022									give title		10% Ov Other (s below)		
1300 POST OAK BLVD 8TH FLOOR					4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Indiv X	6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(Street)															Form file	d by More	than O	ne Reportin	g Person	
HOUSTON	TX	77	056																	
(City)	(State)	(Zi	p)																	
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																				
Date					. Transaction late Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 a				5. Amount Securities Beneficial Following	ly Owned Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
				Code					v	Amount		(A) or (D)	Price	Transactic (Instr. 3 an				(Instr. 4)		
Common Stock 10/1					14/2022				J ⁽¹⁾ V		65.178		Α	\$33.14	13,390.4201			D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	erviative Conversion Date Execution Date, or Exercise (Month/Day/Year) if any				ansaction Derivative ode (Instr. Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)			Sec Der	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5) 9. Numt Securiti Benefici Owned Followir Reporte Transac (Instr. 4)		e Ownership Ps Form: ally Direct (D) or Indirect g (I) (Instr. 4) tion(s)		11. Nature of Indirect Beneficial Ownership (Instr. 4)			

Date

Exercisable

(D)

Expiration

Date

Title

Explanation of Responses:

1. The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Code V (A)

/s/ Jason Beauvais, Attorney-in-	10/27/2022			
Fact	10/2//2022			
** Signature of Reporting Person	Date			

Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.