SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person *<br><u>Matthews Beverly Kay</u> |   |  |  |                                 |                      | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Main Street Capital CORP</u> [ MAIN ] |  |        |  |                          |   |            |   |                    | ationship of F<br>all applicat<br>Director   |                      | Person(   | ,  |   |
|--|---|--|--|---------------------------------|----------------------|---|--|--------|--|--------------------------|---|------------|---|--------------------|--|----------------------|---|--|---|
| (Last)   | (First)   | (Mi  | (Middle)   |                                 |                      |   | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/15/2023   |        |  |                          |   |            |   |                    |  | give title           |   | 10% Owner<br>Other (specify<br>below)                                    |   |
| 1300 POST OAK BLVD<br>8TH FLOOR  |   |  |  |                                 | 4. If A              | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                    |  |        |  |                          |   |            |   |                    | ividual or Joint/Group Filing (Check Applicable Line)<br>Form filed by One Reporting Person  |                      |   |  |   |
| (Street)   |   |  |  |                                 |                      |   |  |        |  |                          |   |            |   |                    | Form file  | d by More            | than Or   | ne Reportin  | g Person  |
| HOUSTON TX 77056   |   |  |  |                                 |                      |   |  |        |  |                          |   |            |   |                    |  |                      |   |  |   |
| (City)   | (State)   | (Zi  | p)   |                                 |                      |   |  |        |  |                          |   |            |   |                    |  |                      |   |  |   |
|  |   | Та   | ble I - No   | n-De                            | rivative             | e Se  | curitie  | es Acq | uired,   | Disp                     | osed of,  | , or       | Benefi  | cially Ov          | /ned   |                      |   |  |   |
| Date   |   |  |  |                                 | te<br>onth/Day/Year) |   | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)  |        | 3.<br>Transaction<br>Code (Instr.<br>8)                        |                          | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 a |            |   |                    | 5. Amount<br>Securities<br>Beneficial<br>Following<br>Transactic   | ly Owned<br>Reported | 6. Ownership<br>Form: Direct (D)<br>or Indirect (I)<br>(Instr. 4) |  | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |  |                                 |                      |   |  |        | Code   | v                        |   |            | (A) or<br>(D)   | Price              | (Instr. 3 an   |                      |   |  | (1150.4)  |
| Common Stock 02/1  |   |  |  |                                 | 15/2023              |   |  |        | <b>J</b> <sup>(1)</sup>  | J <sup>(1)</sup> V 49.09 |   | )          | A   | \$39.76            | 12,135.871   |                      |   | D  |   |
|  |   |  | Table II -   |                                 |                      |   |  |        |  |                          | sed of, o<br>nvertible  |            |   |                    | ed   |                      |   |  |   |
| 1. Title of<br>Derivative<br>Security (Instr. 3)                         | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day/ | Date, Transaction<br>Code (Inst |                      |   | 5. Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D) (Instr. 3, 4<br>and 5) |        | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                          |   | Sec<br>Der | itle and A<br>curities Un<br>ivative Se<br>str. 3 and 4 | derlying<br>curity | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)<br>9. Numt<br>derivati<br>Securiti<br>Benefic<br>Owned<br>Followin<br>Reporte<br>Transac |                      | e<br>s<br>Ily<br>g  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>) Ownership<br>ct (Instr. 4)                        |

Date

Exercisable

Expiration

Title

Date

Explanation of Responses:

1. The reporting person acquired these shares under a dividend reinvestment plan, pursuant to a dividend reinvestment transaction exempt from Section 16 under Rule 16a-11.

Code V

| <u>/s/ Jason Beauvais, Attorney-in-</u> | 03/09/2023 |  |  |  |
|---|------------|--|--|--|
| Fact ** Signature of Reporting Person   | Date       |  |  |  |

or

Number

of Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

(A)

(D)